





Optimal Trajectory Planning of Formation Flying Spacecraft





Guidance&Control → Contents

Plan of the presentation

- q Context of the problem
- **q** Relative Dynamics for Eccentric Orbits
- **q** Formation Initialization Optimal Control Problem
- q **Results**
- q Conclusions & questions



Current and/or future trend in space science missions: the usage of several spacecraft flying in formation, rather than using monolithic platforms

higher accuracy in Earth and extra solar planetary observations



higher region coverage when monitoring science data Ø



- ESA project on Formation Flying of 3 Spacecraft in Geostationary Transfer Orbit (GTO) – phase II
- DEIMOS Engenharia \rightarrow FF-FES Matlab/Simulink simulator C
- ISR/IST (project manager Pedro Lima) \rightarrow reliable Guidance, C Navigation and Control algorithms, implemented as S-functions in the simulator



Guidance&Control goal during the Formation Acquisition Mode (FAM) :

Ø Bring the 3 spacecraft

- \rightarrow from an initial *randomly* dispersed disposition (at t_1) within a sphere of 8km in diameter
- \rightarrow to a desired final disposition at t_2 , which is a tight formation = distances between TF1 (telescope flyer) and Hub (master satellite) and between TF2 and Hub of 250m, with an aperture angle of the formation of 120°

Ø by minimizing the fuel spent of all spacecraft and by avoiding collisions



F ROBOTIC



INSTITUTO

F ROBÓTICA

Guidance&Control → Introduction

Orbital parameters of the GTO orbit

Semi-major axis: a = 26624.137km | Eccentricity: e = 0.73039| RAAN: $\Omega = 0^{\circ}$ | Inclination: $i = 7^{\circ}$ | Argument of perigee: $\omega = -90^{\circ}$ | True anomaly θ

$$t - t_p = \frac{1}{n} \left[2 \arctan\left(\sqrt{\frac{1 - e}{1 + e}} \tan \frac{\theta}{2}\right) - \frac{e\sqrt{1 - e^2} \sin \theta}{1 + e \cos \theta} \right]$$

ØOther derived parameters:

• the natural frequency of the reference orbit: n = 1

$$\sqrt{\frac{\mu}{a^3}} = \sqrt{\frac{G \cdot m_{Earth}}{a^3}}$$

• the period of the orbit: $T = \frac{2\pi}{10} = 43233.88s = 12h 33.88s$



6/31

Tillerson's

frame



θ-varying relative dynamics equation (in LVLH)

In-plane motion of *i*th spacecraft





INSTITUTO DE SISTEMAS E ROBÓTICA

Out-of-plane motion

$$\frac{d}{d\theta} \begin{bmatrix} y_i \\ y'_i \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ \frac{-1}{1+e\cos\theta} & \frac{2e\sin\theta}{1+e\cos\theta} \end{bmatrix} \begin{bmatrix} y_i \\ y'_i \end{bmatrix} + \frac{(1-e^2)^3}{(1+e\cos\theta)^4 n^2} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \left(u_{i,y} + \sum w_{i,y} \right)$$

7/31



Guidance&Control → Relative Dynamics Eccentric Orbit

Other perturbations: atmospheric drag, solar radiation pressure, micrometeoroids



INSTITUTO DE SISTEMAS E ROBÓTICA



Guidance&Control → Formation Initialization Optimal Control Problem

Optimal Control Problem during FAM

State equations = Relative dynamics equations (linearized in what concerns the gravitational accelerations, but slightly non-linear because of perturbations terms)

- 2-boundary conditions (initial and final conditions)
- Limitations concerning the control inputs (actuators saturation)
- The cost function to be minimized (takes into account both fuel consumption & collision avoidance)



INSTITUTO DE SISTEMAS E ROBÓTICA



Guidance&Control → Formation Initialization Optimal Control Problem

State equations :

Ø by putting together the relative dynamics equations

$$\frac{d[\mathbf{X}(\theta)]}{d\theta} = \mathbf{A}(\theta)\mathbf{X}(\theta) + \mathbf{B}(\theta)[\mathbf{U}(\theta) + \mathbf{W}(\theta)]$$
where $\mathbf{X} = \begin{bmatrix} x_1 & x'_1 & z_1 & z'_1 & y_1 & y'_1 & x_2 & x'_2 & z_2 & z'_2 & y'_2 \\ & & & & & \\ & & & & & \\ \mathbf{U} = \begin{bmatrix} u_{1,x} & u_{1,z} & u_{1,y} & u_{2,x} & u_{2,z} & u_{2,y} & u_{3,x} & u_{3,z} & u_{3,y} \end{bmatrix}^T$

Two-boundary conditions :

FAM takes place between θ_1 and θ_2 (t_1 and t_2) considered FAM duration: $\Delta t_{12} = t_2 - t_1 = 4h$ (large enough in order not to overload the actuators)



INSTITUTO

F ROBÓTICA

Guidance&Control → Formation Initialization Optimal Control Problem

Initial conditions (at θ_1)

I initial *randomly* dispersed disposition within a sphere of 8km in diameter (1km for the moment, the dimensioning of the problem being still in a study phase)

I velocities are very small, as after dispenser we have a cancel relative velocity mode

 $positions \ at \ \theta_1: \quad Hub \begin{cases} x_1 = -498.48m \\ z_1 = -287.66m \\ y_1 = 101.14m \end{cases} TF1 \begin{cases} x_2 = 495.21m \\ z_2 = -292.73m \\ y_2 = 45.17m \end{cases} TF2 \begin{cases} x_3 = 27.04m \\ z_3 = 580.24m \\ y_3 = 27.38m \end{cases}$

$$velocities \ at \ \theta_{1}: \ Hub \begin{cases} \mathbf{x}_{1} = 15.046 \frac{\text{mm}}{\text{s}} \\ \mathbf{x}_{2} = -39.905 \frac{\text{mm}}{\text{s}} \\ \mathbf{x}_{2} = -38.842 \frac{\text{mm}}{\text{s}} \\ \mathbf{x}_{2} = -38.842 \frac{\text{mm}}{\text{s}} \\ \mathbf{x}_{3} = 33.890 \frac{\text{mm}}{\text{s}} \\ \mathbf{x}_{3} = 33.890 \frac{\text{mm}}{\text{s}} \\ \mathbf{x}_{3} = 14.251 \frac{\text{mm}}{\text{s}} \\ \mathbf{x}_{3} = 33.890 \frac{\text{mm}}{\text{s}} \\ \mathbf{x}_{3} = 33.890 \frac{\text{mm}}{\text{s}} \\ \mathbf{x}_{3} = 19.758 \frac{\text{mm}}{\text{s}} \end{cases}$$







Guidance&Control → Formation Initialization Optimal Control Problem

Final conditions (at θ_2)

I the desired final disposition is a tight formation = distances between TF1 and Hub and between TF2 and Hub of 250m, with an aperture angle of the formation of 120°

I These formation conditions are provided by Deimos, as a result of an optimal design. After FAM, near Perigee, we need to precisely maintain this formation during a 2h observation experiment. The goal of the optimal design was to minimize the control inputs for maintaining the formation during these 2h.

	$\int x_1 = 0.0 m$	$x_2 = -248.174$ m	$\int x_3 = 124.087 \text{m}$	
positions at θ_2 :	$Hub \left\{ z_1 = 0.0 \text{m} \right\}$	$TF1 \left\{ z_2 = 30.154 \mathrm{m} \right\}$	$TF2 \left\{ z_3 = -15.077 \mathrm{m} \right\}$	
	$y_1 = 0.0 \text{m}$	$y_2 = 0.0 \text{m}$	$y_3 = 216.506$ m	
	$\int \mathbf{x}_{\mathrm{I}} = 0.0 \frac{\mathrm{mm}}{\mathrm{s}}$	$\int \mathbf{x}_2 = -4.026 \frac{\mathrm{mm}}{\mathrm{s}}$	$\int \mathbf{x}_{s} = 2.211 \frac{\text{mm}}{\text{s}}$	
velocities at θ_2 :	$Hub \left\{ \mathbf{x} = 0.0 \frac{\text{mm}}{\text{s}} \right\}$	$TF1\left\{ \mathbf{x}_{2}^{*} = -11.030 \frac{\mathrm{mm}}{\mathrm{s}} \right\}$	$TF2\left\{ \frac{s}{s} = 4.898 \frac{\text{mm}}{\text{s}} \right\}$	
	$\int \mathbf{x} = 0.0 \frac{\mathrm{mm}}{\mathrm{s}}$	$\mathbf{y}_{2}^{\mathbf{x}} = -0.1548 \frac{\mathrm{mm}}{\mathrm{s}}$	$\int \mathbf{x}_3 = -0.4204 \frac{\mathrm{mm}}{\mathrm{s}}$	12/31



Guidance&Control → Formation Initialization Optimal Control Problem

Control inputs limitations

$$u_{\min} \le |U_j| \le u_{\max}, \text{ for } j = 1, K, 9 \quad (U_j = u_{1,x} / u_{1,y} / u_{2,x} / K)$$

 $u_{\min} = 0.1 \mu N$

 $u_{\text{max}} = 15 \text{mN}$ (important value for dimensioning of the problem)



E ROBÓTICA

Remark: The control inputs limitations are AUTOMATICALLY taken into account in the right side of the <u>state dynamics</u> equations, when integrating numerically these equations. We just don't allow control inputs to exceed the limitations.

Example: if
$$U_j > u_{max}$$
, then we impose $U_j = u_{max}$
if $U_j < -u_{max}$, then we impose $U_j = -u_{max}$



IJR

INSTITUTO DE SISTEMA E ROBÓTICA



Guidance&Control → Formation Initialization Optimal Control Problem

Cost function to be minimized

The cost function (performance index) takes into account BOTH fuel spent and collision avoidance :

$$J = \int_{\theta_1}^{\theta_2} L(\mathbf{X}(\theta), \mathbf{U}(\theta), \theta) d\theta = J_{fuel} + J_{avoidance} =$$

= $\int_{\theta_1}^{\theta_2} \sum_{j=1}^9 U_j^2 d\theta + \int_{\theta_1}^{\theta_2} \left[\delta_{12} (\rho_{12} - \rho_{\min})^2 + \delta_{13} (\rho_{13} - \rho_{\min})^2 + \delta_{23} (\rho_{23} - \rho_{\min})^2 \right] d\theta$

 $\rho_{12} = relative \ distance \ between \ Hub \ and \ TF1$ $\rho_{min} = 40 [m]$

weighting coefficient $\delta_{12} = \begin{cases} 0 & \text{if } \rho_{12} \ge \rho_{\min} \\ \delta^0 & \text{if } \rho_{12} < \rho_{\min} \end{cases}$

• we choose δ^0 for a good ponderation between J_{fuel} and $J_{avoidance}$



Pontryagin Maximum Principle (PMP) formulation :

Hamiltonian: $H(\mathbf{X}, \mathbf{U}, \theta) = L(\mathbf{X}, \mathbf{U}, \theta) + \ddot{\mathbf{E}}^T \mathbf{f}(\mathbf{X}, \mathbf{U}, \theta) = L(\mathbf{X}, \mathbf{U}, \theta) + \sum_{k=1}^{18} \lambda_k f_k(\mathbf{X}, \mathbf{U}, \theta)$

 Φ State equations: \underline{a}

 Φ Co-state equations:

 $(\lambda_i - adjoint variables)$

$$\frac{dX_i}{d\theta} = \frac{\partial H}{\partial \lambda_i} = f_i, \quad \text{for } i = 1, \text{K} , 18$$

 $\frac{d\lambda_i}{d\theta} = -\frac{\partial H}{\partial X_i} = -\frac{\partial L}{\partial X_i} - \sum_{k=1}^{18} \frac{\partial f_k}{\partial X_i} \lambda_k$

INSTITUTO DE SISTEMAS E ROBÓTICA



PMP: The control inputs, which satisfy, for $\theta_1 \le \theta \le \theta_2$, the *stationarity conditions*:

$$0 = \frac{\partial H}{\partial U_{j}} = \frac{\partial L}{\partial U_{j}} + \sum_{k=1}^{18} \frac{\partial f_{k}}{\partial U_{j}} \lambda_{k}, \quad \text{for } j = 1, \text{K} ,9$$

are the optimal control inputs, the corresponding trajectory being optimal as well !



INSTITUTO DE SISTEMAS E ROBÓTICA 1) **State equations**: the relative dynamics <u>equations</u> for all 3 spacecraft

2) **Co-state equations :**

$$\begin{aligned} \frac{d\lambda_{1}}{d\theta} &= -\frac{\partial L}{\partial X_{1}} - \left[\frac{e\cos\theta}{1+e\cos\theta} + \frac{(1-e^{2})^{3}}{(1+e\cos\theta)^{4}n^{2}}\frac{\partial w_{i,x}}{\partial X_{1}}\right]\lambda_{2} - \\ &- \left[\frac{2e\sin\theta}{1+e\cos\theta} + \frac{(1-e^{2})^{3}}{(1+e\cos\theta)^{4}n^{2}}\frac{\partial w_{i,z}}{\partial X_{1}}\right]\lambda_{4} - \frac{(1-e^{2})^{3}}{(1+e\cos\theta)^{4}n^{2}}\frac{\partial w_{i,y}}{\partial X_{1}}\lambda_{6}, \\ & where \ \frac{\partial L}{\partial X_{1}} = 2\left[\delta_{12}\left(-\frac{\rho_{\min}}{\rho_{12}}\right)X_{1} - X_{7}\right) + \delta_{13}\left(-\frac{\rho_{\min}}{\rho_{13}}\right)X_{1} - X_{13}\right] \\ \frac{d\lambda_{2}}{d\theta} &= -\lambda_{1} - \frac{2e\sin\theta}{1+e\cos\theta}\lambda_{2} + 2\lambda_{4}, \\ \\ \frac{d\lambda_{3}}{d\theta} &= \mathsf{K} \end{aligned}$$



UTO 3)

Stationarity conditions :

$$\begin{split} 0 \ &= \ 2U_1 + \frac{(1-e^2)^3}{(1+e\cos\theta)^4 n^2} \lambda_2 \quad \Rightarrow \quad U_1 = \ -\frac{1}{2} \frac{(1-e^2)^3}{(1+e\cos\theta)^4 n^2} \lambda_2 \ , \\ U_2 = \ -\frac{1}{2} \frac{(1-e^2)^3}{(1+e\cos\theta)^4 n^2} \lambda_4 \ , \quad \mathsf{K} \end{split}$$



By summarizing :
$$U_j = -\frac{1}{2} \frac{(1-e^2)^3}{(1+e\cos\theta)^4 n^2} \lambda_{2j}$$
, for $j = 1, K$,9



- So, by means of the stationarity conditions, the optimal control inputs U_i are directly linked to the adjoint variables λ_i .
- Ø Advantage of PMP over Linear Programming: PMP works also with NON-LINEAR state equations, so perturbations can be taken into account



 $\frac{d\mathbf{X}}{d\theta} = \mathbf{f}(\mathbf{X}, \mathbf{U}, \theta),$

 $\left|\frac{d\ddot{\mathbf{E}}}{d\theta} = -\left(\frac{\partial H}{\partial \mathbf{X}}\right)^T = \mathbf{g}(\mathbf{X}, \mathbf{U}, \theta)$

INSTITUTO DE SISTEMAS E ROBÓTICA



Guidance&Control → Formation Initialization Optimal Control Problem

Iterative "shooting method" in order to solve the differential two-boundary equations system

- $\mathbf{X}(\theta_1) = \mathbf{a} \ and \ \mathbf{X}(\theta_2) = \mathbf{b}$
- \bullet no initial or final condition for \ddot{E}

- 1. First iteration k=0: INITIALIZING the adjoint variables $\Lambda^{(0)}(\theta_1)$
- 2. At iteration k : CORRECTION of the initial adjoint variables $\Lambda^{(k)}(\theta_1)$, in order that, after integration of the differential equations above between θ_1 and θ_2 , the following stopping test to be satisfied: $\| \mathbf{X}^{(k)}(\theta_2) \mathbf{b} \| \le \varepsilon$

Once the test satisfied, $\mathbf{X}^{(k)}(\theta)$ is the optimal trajectory and $\mathbf{U}^{(k)}(\theta)$ are the optimal control inputs, for $\theta_1 \le \theta \le \theta_2$! 18/31





INSTITUTO

F ROBOTICA

on the PMP Formulation

Reliable Initialization of the Shooting Method based

The differential state equations (without perturbations) are:

$$\frac{d\mathbf{X}_{i}}{d\theta} = \mathbf{A}_{i}(\theta)\mathbf{X}_{i}(\theta) + \mathbf{B}_{i}(\theta)\mathbf{U}_{i}(\theta), \quad \text{for } i = 1,2,3$$

$$or \quad \frac{d\mathbf{X}_{i}}{d\theta}\Big|_{\theta_{k}} = \mathbf{A}_{i}(\theta_{k})\mathbf{X}_{i}(\theta_{k}) + \mathbf{B}_{i}^{\Lambda}(\theta_{k})\mathbf{\ddot{E}}_{i}(\theta_{k})$$

$$\Rightarrow \mathbf{X}_{i}(k+1) = \left[(\delta\theta)\mathbf{A}_{i}(k) + \mathbf{I}_{6}\right]\mathbf{X}_{i}(k) + \left[(\delta\theta)\mathbf{B}_{i}^{\Lambda}(k)\right]\mathbf{\ddot{E}}_{i}(k)$$

Finally, the recurrent expression of the state variables is:

$$\mathbf{X}_{i}(k+1) = \overline{\mathbf{A}}_{i}(k)\mathbf{X}_{i}(k) + \overline{\mathbf{B}}_{i}(k)\mathbf{\ddot{E}}_{i}(k)$$

Ø Recurrent expression for the adjoint variables (co-state) vector: $\ddot{\mathbf{E}}_{i}(k+1) = \overline{\mathbf{C}}_{i}(k)\ddot{\mathbf{E}}_{i}(k)$ 20/31





E ROBÓTICA

 $X_i(k+1)$ expressed directly as function of $X_i(0)$ and $\Lambda_i(0)$:

$$\mathbf{X}_{i}(k+1) = \mathbf{P}_{i}(k)\mathbf{X}_{i}(0) + \mathbf{Q}_{i}(k)\mathbf{\ddot{E}}_{i}(0)$$
$$\mathbf{\ddot{E}}_{i}(k+1) = \mathbf{N}_{i}(k)\mathbf{\ddot{E}}_{i}(0)$$

Recurrent sequence: 1. $\Phi \quad \mathbf{P}_i(0) = \overline{\mathbf{A}}_i(0), \quad \mathbf{Q}_i(0) = \overline{\mathbf{B}}_i(0), \quad \mathbf{N}_i(0) = \overline{\mathbf{C}}_i(0)$

2.
$$\Phi$$
 FOR $k=1$ TO $n-1$
 $\mathbf{P}_i(k) = \overline{\mathbf{A}}_i(k)\mathbf{P}_i(k-1)$
 $\mathbf{Q}_i(k) = \overline{\mathbf{A}}_i(k)\mathbf{Q}_i(k-1) + \overline{\mathbf{B}}_i(k)\mathbf{N}_i(k-1)$
 $\mathbf{N}_i(k) = \overline{\mathbf{C}}_i(k)\mathbf{N}_i(k-1)$

INSTITUTO SUPERIOR TÉCNICO



Guidance&Control → Closed-loop linear controller

$$\mathbf{X}_{i}(\theta_{k=n}) = \mathbf{P}_{i}(n-1)\overset{\wedge}{\mathbf{X}}_{i}(\theta_{k=0}) + \mathbf{Q}_{i}(n-1)\ddot{\mathbf{E}}_{i}(0)$$

or
$$\mathbf{Q}_{i}(n-1)\ddot{\mathbf{E}}_{i}(0) = \mathbf{X}_{i}(\theta_{2}) - \mathbf{P}_{i}(n-1)\overset{\wedge}{\mathbf{X}}_{i}(\theta_{1})$$

Algebraic system of 6 linear equations (unknowns $\Lambda_i(0)$), easily solved by using the Gauss elimination method. PERTURBATIONS not considered \Rightarrow Only linearized expressions of the perturbations can be taken into account

Closed-loop LINEAR CONTROLLER

- Ø In practice, we execute this linear controller every 100s, and for the next 100s we apply the optimal control inputs just computed



RESULTS obtained with the DEIMOS' FF-FES simulator



The shooting method based on the PMP formulation is implemented (as Matlab/Simulink S-function written in C code), in order to find the optimal trajectory between θ_1 and θ_2





The adjoint variables INITIALIZATION method is already programmed / the implementation of the equivalent CLOSED-LOOP LINEAR CONTROLLER nearly done

Up to now, the simulations are run with perturbations disabled

Simulation conditions

Final conditions \Leftrightarrow triangle with aperture angle of 120°, and with distances of 250m between TF1 and the hub and between TF2 and the hub





View from above the orbital plane of the 3 spacecraft positions w.r.t Earth, in IPQ





The evolution of the distances between the hub and TF1 (respectively TF2)



The evolution of the aperture angle of the triangle formation





Hub optimal trajectory positions $(x_1, z_1 \text{ and } y_1)$ with respect to time t ,

26/31





TF2 optimal trajectory positions (x_3 , z_3 and y_3) with respect to time *t*





Numerical conclusions



INSTITUTO DE SISTEMAS E ROBÓTICA



- By using the proposed PMP formulation, the optimal trajectory (positions and velocities) from the initial to the desired state is obtained, as well as the corresponding optimal control inputs.
- The error between the obtained state vector $\mathbf{X}(\theta_2)$ and the desired state vector $\mathbf{X}_{des}(\theta_2)$ is of the order of 1m for position components and of 10⁻³m/s for velocity components.
 - The computing time if of 5s, on a Pentium4 3.0GHz



Conclusions



- Optimal trajectory planning algorithm for formation flying spacecraft, which allows the inclusion of actuators saturation and non-linear perturbation models in the dynamics equations
- INSTITUTO DE SISTEMAS E ROBÓTICA
- We solve it by a Pontryagin Maximum Principle based iterative "shooting method"



- Thus, we obtain trajectories that require less control effort during the trajectory tracking phase of the mission; the spacecraft must not collide
- Ø Important advance: The closed loop LINEAR CONTROLLER based on the PMP formulation



Guidance&Control → Conclusions

Following work

- Finish the implementation of the closed-loop linear controller in the simulator
- Realize also the ATTITUDE CONTROL
- Consider the different perturbations in the closed-loop linear controller, by finding the most appropriate linearized expressions of these perturbations
- Perform different tests, for example concerning the consideration of collision avoidance in our optimal control formulation

